

APR 16 2013

Maine Ethics Commission

# ROMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2012 Calendar Year: January 1, 2012 - December 31, 2012

Please file this statement with the Maine Ethics Commission. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations. A glossary is located in the back of this form.

## **General Instructions**

- Complete all sections. If a section is not applicable, check the box marked "None."
- Report only specific sources of income. Dollar amounts do not need to be reported.
- If completing this form by hand, please write legibly.

Name Joyce A. C	resKou	rich			Title H're	ctor		
Dept. Administrati	ve i fin	ancicl Human	Senius Resource	Phoi	ne (work)	24	7368	
Mailing Address (work) 4 State House Augusta ME				E-m	ail Addres کېردۍ ،	s (work) A.Orcs	skouid, Om	ain, ge
<del>.</del>	REF	PORT TYPE	(please see b	elow)		•		
	∏Initial	Annual	□Update	Fin	ıal			

# Reporting Deadlines

### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

## Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed ..

# **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each vear of their employment.

### Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

## **Updating Statement**

An executive employee shall file an updated statement concerning the current calendar year if the income, reportable liabilities or positions of the executive employee or an immediate family member, excluding dependent children, substantially change from those disclosed in the employee's most recent statement. Substantial changes include, but are not limited to:

- a new employer that has paid the employee/immediate family member \$2,000 or more during the current year,
- a source of income that has provided the employee/immediate family member with income that totals \$2,000 or more during the current year, and
- the acceptance of a new position with a for-profit or nonprofit firm or political action or ballot question committee.

The executive employee shall file the updated statement within 30 days of the substantial change in income, reportable liabilities or positions.

Part 1 Income from Empl				
None. Check this box if			<del></del>	Proceedings of the second seco
Name of Employer	Address	Principal T Business A	ype of Economic or Activity of Employer	Job Title
Part 2/ Income from Self-E	Employment		· · · · · · · · · · · · · · · · · · ·	
None. Check this box if	you did not have in	ncome from self-emp	loyment.	
Name of Your Business/Trade N	Jame	Address	Principa	Il Type of Economic or Business Activity
Name of Client or Customer, if requinstructions)	îred (see	Address	Principa	I Type of Economic or Business Activity of Client
Part 3. Revenue of Busine				
None. Check this box if	you and your imme			
Name of Dusiness		Address	Pilicipa	I Type of Economic or Business Activity
Part 4. Income from the Pr	actice of Law			
None. Check this box if	you did not have in	come from the practi	ce of law.	
Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas Practice	s of Position: Partner, Associate, Sole Practitioner
		d gridnere		

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Part 8. Income from Any Other Source				
None. Check this box if you did not have income from any other source.				
Name of Source	Address	Type of Income		

Part 6-A. Compensation Income of Im		
None. Check this box if no members employment or compensation.	of your immediate family received inc	ome of \$2,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Roger Hellyar-Brook. Program Manager	The Landing School 286 River Road Arundel, ME.04046	Boatbuilding Marine Systems School
Roger Hellyan-Brook, Marine Mechanic	Handy Boat Rte. 88 Falmouth, Maine	Marina
Roger Hellyan. Brook, Contributing Writer	Chuz Bay Publishing Ind 300 N. Continental Blug. El Segundo, CA. 90245	· Magazine Publisher

Part 6-B. Other Sources of Income of	f Immediate Family Members	
None. Check this box if no member other source.	s of your immediate family received in	come of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income
Roger Hellyar. Brook	TI AA CREF PO BOX 1289 Charlotte, NC 28201.1289	IRA distribution
·		

None. Check this box if you did not have re	portable liabilities.	
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender
	1,77	
Part 8. Gifts, Including Travel and Accomm	odations	
None. Check this box if you did not receive	ed any gifts.	
Source of Gift		Source of Gift
1.	2.	· · · · · · · · · · · · · · · · · · ·
3.	4.	
		1 10 10 10 10 10 10 10 10 10 10 10 10 10
Part 9. Honoraria	h honoraria	
Part 9. Honoraria  None. Check this box if you did not received  Source of Honoraria		Source of Honoraria
None. Check this box if you did not received		Source of Honoraria
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None. Check this box if you did not received Source of Honoraria  1.	2.	Source of Honoraria
None. Check this box if you did not received Source of Honoraria  1.	2.	Source of Honoraria
None. Check this box if you did not received Source of Honoraria  1.	2. 4. 4. E Question Committees	
None. Check this box if you did not received Source of Honoraria  1.  Part 10. Positions in Political Action or Ballot	2. 4. 4. E Question Committees	
None. Check this box if you did not received Source of Honoraria  1.  3.  Part 10. Positions in Political Action or Ballot None. Check this box if you were not a treas	2. 4. 4. E Question Committees	or fundraiser of a PAC or BQC.

	State Agencies			
None. Check this box if neither you	u nor your immed	liate family did busir	ess with any State	agency.
Name of Agency	Name of Individual/Organization Selling Goods or Services		Description of Good or Services	
Part 12, Representing Others before	e State Agencie	S		
None. Check this box if neither you	ı nor your immed	liate family represen	ted another before	a State agency.
Name of Agency		Name of Inc	dividual Receiving (	Compensation
Part 13. Positions in For-Profit and None. Check this box if you and m non-profit organizations.  Organization/Business and Address			Relationship to Executive Employee	any for-profit or Compensated Yes/No
Í	i i		∏Self	□ Yes
			☐Self ☐Spouse ☐Dependent	☐ Yes ☐ No
			☐Spouse	
			Spouse Dependent Self Spouse	□ No
	SIGNA	<b>ATURE</b>	Spouse Dependent Self Spouse Dependent Self Spouse	☐ No ☐ Yes ☐ No ☐ Yes
CERTIFY THAT I HAVE EXAMINED THOORRECT, AND COMPLETE.			Spouse Dependent Self Spouse Dependent Self Self Dependent Dependent	☐ No ☐ Yes ☐ No ☐ Yes ☐ No
CERTIFY THAT I HAVE EXAMINED THORRECT, AND COMPLETE.			Spouse Dependent Self Spouse Dependent Self Spouse Dependent MY KNOWELDG	No  Yes  No  Yes  No  Tes  No
CERTIFY THAT I HAVE EXAMINED THORRECT, AND COMPLETE.  July Carly Signature			Spouse Dependent Self Spouse Dependent Self Spouse Dependent	☐ No ☐ Yes ☐ No ☐ Yes ☐ No ☐ Yes ☐ No ☐ No